

Dr C B Thapa- Practicing Company Secretary/Corporate Consultant

Retired on 14 July, 2018- Chief General Manager Sahara India Group

Qualifications:

- BA: Avadh University: Faizabad - 1981
- Diploma in Public Admn., Lucknow University- 1982
- LL.B. :Lucknow University - 1985

- Company Secretary: ICSI New Delhi - 1990
Became Associate Member-ACS 1991
Became Fellow Member- FCS 1999

- M.B.A. (Finance): IGNOU, Delhi - 1997
- Ph.D. (Business Admn.): Lucknow University - 2003

Experience – Total 40+ years

- Sept. 1977 to June 1981: Somaiya Organics: HR/Legal
- July 1981 to Sept. 1993: Voltas Limited : HR/Legal/Commercial/Accts.
- Sept.1993 to April 1994: UP Mahila Kalyan: Finance/Legal/HR.
- April 1994 to Oct. 1994: Arif Industries: Legal/HR/Finance/Revival
- Nov.1994 to Oct. 1996 : Hind Lamps: Finance/HR/Legal/Revival
- Nov.1996 to May 1998 : Arif Industries:Finance/HR/Legal/CEO

- July 1998 to July. 2018 :Sahara Housing & Infrastructure – Hi-Tech Township/Integrated Townships/Land Procurement issues/OFCDs/
Business Development strategies, Commercial-Legal issues/CSR.

Ex-Managing Committee Member – ASSOCHAM India, Delhi

Adviser – Everest Chamber of Commerce, New Delhi

Life Member – Lucknow Management Association

Founder Treasurer & Life Member –AKWL Lucknow

Ex-Chairman, Lucknow Chapter of Company Secretaries of India

Freelance Adviser for New Ventures, Manpower Re-structuring, Industrial Revivals, Corporate Governance & CSR, Corporate Restructuring, Mergers& Acquisitions, Skill Development and Entrepreneurship, Project funding

Foreign visits for work – Dubai, Kenya, China, Singapur.

Hongkong, Macau, Nepal

Professional Profile

Dr. C.B. Thapa
LL.B., MBA, FCS., Ph.D. (Business Admn.)

**36 Shiv Vihar Colony, Sector 5, Vikas
Nagar, Lucknow- 226 022.**

DIN : 06933078
CP No. : 15597

**9/425, Bhagyodaya, Kher Nagar, Bandra
(East), Mumbai-400051**

Independent Director Profile Registration ID : DIR/2016/001545/111004

Contact:+91-9920342485

e-Mail - drcbthapa@gmail.com

Date of Birth : 17thNovember, 1957 – place : Kathgodam, Distt. Nainital

**Experienced Expert in Real Estate/Strategic Management, Hospitality, Public Issues,
Corporate Restructuring/ HR/Finance & Legal/CSR**

**Total Experience – 37 years post Graduation + 4 years Pre-Graduation (Somaiya 1977
to 1981)**

Employment Details:

Period	Name of Employers	Last Position/Responsibility
Jul' 1998 to July. 2018	Sahara India Group	Chief General Manager-Housing & Infrastructure Development
Oct.' 1996 to May ' 1998	Arif Industries Ltd., Lucknow	General Manager & Co.Secy.
Nov.' 1994 to Oct.' 1996	Hind Lamps Ltd., Shikohabad (Bajaj & Phillips joint venture)	Senior Deputy Manager (Finance) & Secretary
Apr.' 1994 to Oct.' 1994	Arif Industries Ltd.,Lucknow	Company Secretary
Sept.' 1993 to Apr.' 1994	UP MahilaKalyan Nigam Ltd., Lucknow	Finance Manager & Company Secretary
Jul.' 1981 to Sept.' 1993	Voltas Limited, Lucknow Branch (TATA Group Co.)	Senior Assistant and Sectional Incharge

Educational Qualifications

B. A.	– Avadh University, Faizabad – 1981
DPA	– Lucknow University, Lucknow– 1982
LL.B.	– Lucknow University, Lucknow – 1985
Company Secretary	– ICSI New Delhi – 1990
M.B.A. (Finance)	– IGNOU New Delhi – 1997
Ph.D. (Business Administration)	– Lucknow University, Lucknow– 2003

- **Passed Insolvency Professional Examination in April, 2017.**

Membership: Fellow Company Secretary - FCS (ACS in 1991 and FCS in 1999)

Other Responsibilities in Business Forums/Professional Bodies

- **Managing Committee Memeber, ASSOCHAM, India since 2011 (for 2012-13 Co-Chairman, National Council for Corporate Governance, Co. Law, Corporate Affairs).**
- **Life Member – Lucknow Management Association, Lucknow since 2003.**

- **Founder Life Member – Association of Knowledge Workers, Lucknow since 2006 (Founder Treasurer - Tenure 2006 – 2008 and Currently also).**
- **Ex-Chairman – Lucknow Chapter of the Institute of Company Secretaries of India (started as Treasurer & Secretary from 1991 and Chairman from 1996-2000 & 2002 to 2005).**

Immediate Past Employers :Sahara India Group w.e.f. 06/07/1998 to 14/7/ 2018 Last

Assignment: Chief General Manager-Infrastructure & Housing Division: –

- **Core Team Member** for Business Strategy and Development, M&A/ Business Expansion for the Sahara Group - direct reporting to the Chairman, with independent decision making authority.
- **Group Head for Compliance&Corporate Governance –Reporting to Dy. Managing Worker(C&A)-** with support team consisting of Chartered Accountants, Cost Accountants, Company Secretaries, MBAs, Lawyers, Civil Engineers, Architects, and other professionals.

Major assignments handled/achievements:

- **July, 1981 to Sept. 1993:** Voltas Limited (a TATA Group company), Lucknow Branch – involved in Automation & Computerization of Branch, Preparing Accounts Manual, Commercial Procedure Manual, Computer compatible Documentation, Union Dealing – Wage Negotiation : Completed Diploma in Public Admn. in 1982, LL.B in 1985, Company Secretary in 1990 and became ACS in Feb. 1991.
 - **Sept. 93 to April 1994 :** UP Mahila Kalyan Nigam Limited – Completed 5 years backlog of Financial Statements preparation and Audit.
 - **April 1994 to Oct. 1994 :** Arif Industries Limited – Sales Tax Exemption for Cement Factory and Refund of Rs.2 crores (20 million). Approval of Expansion of Cement Plant. Streamlining HR policy, Wages Negotiation and Union dispute settlement.
 - **Nov. 1994-Oct. 1996 :** Hind Lamps Limited – Factory Expansion Approval, Union Wages Negotiation and dispute settlement, Funding for Expansion from ICICI and IDBI, Land Procurement, Social Welfare Scheme implementation Bajaj Group.
 - **Oct. 1996 to May 1998:** Arif Industries Limited – Closure of Cement Unit and Employee/Workmen dues settlement, Upgrading Hotel Arif Castles Nainital to Five Star Category, Completion of Hotel Arif Castles Lucknow and approval 3 Star category, Coordination for establishing 4 Star Hotel at Dubai.
 - **July 1998 to July 2018 : Sahara India Group** – Land Procurement and documents approx. 7000 acres at Taluka Mulshi, Dist. Pune Maharashtra for Amby Valley Project, approx. 6000 acres across the country in 102 cities for dream Sahara City Homes projects 217 cities, successful in litigation to get 106 acres land at Varsova, Andheri (West Mumbai) under Supreme Court order, Restructuring and Mergers, Restructuring and Demergers, Fund raising through OFCDs for the Housing projects, Red Herring Prospectus for proposed IPO of Sahara Prime City Limited, Preparation for Legal case Sahara SEBI at High Court level, then SAT level and then Supreme Court level with Legal Team, Handling various strategic issues in difficult situation due to Sahara SEBI case impact on the Group.
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